# Third Party Compensatory Mitigation Bank/Site

**As-built Report / Credit ReleaseChecklist**

##### DEQ works to provide review, recommendations, and approvals for reports, associated credit release requests, financial assurance reduction or release requests, and proposed corrective actions, through a [Memorandum of Agreement and Standard Operating Procedures](https://www.deq.virginia.gov/home/showpublisheddocument/17819/638164635980130000) regarding Implementation of the Third-Party Compensatory Mitigation Program between DEQ and [United States Army Corps of Engineers-Norfolk District](https://ribits.ops.usace.army.mil/ords/f?p=107:2::::::) (USACE-Norfolk District).

##### Sponsors and their agents should refer to the as-built section and financial report section of their Mitigation Banking Instrument (MBI) or Site Development Plan (SDP) for further explanation of all checklist items. Portions of this checklist refer to the [2023 Monitoring Report template](https://www.deq.virginia.gov/our-programs/water/wetlands-streams/compensatory-mitigation) , which was finalized by the [USACE-Norfolk District](https://ribits.ops.usace.army.mil/ords/f?p=107:2::::::) and DEQ for use on all third-party compensatory mitigation sites in Virginia.

**Disclaimer: Checklists are provided as a tool to use when submitting a report. Checklists are meant to include requirements from all MBIs and SDPs, including pre-2010 MBIs, and the 2010 and 2018 MBI/SDP templates, and any revisions to those templates. Sponsors should refer to the as-built report requirements and financial report requirements in their approved, site-specific MBI or SDP, or any approved modification thereof. Nothing in this checklist adds, removes, or changes the as-built report requirements set forth in the sponsor’s MBI, SDP, or modifications.**

For questions about this checklist, please contact DEQ’s Mitigation Specialists:

Sarah Woodford at [sarah.woodford@deq.virginia.gov](mailto:sarah.woodford@deq.virginia.gov) or 804-659-2672.

Sydney Von Wilson at [sydney.vonwilson@deq.virginia.gov](mailto:sydney.vonwilson@deq.virginia.gov) or 804-316-2587.

Site specific report review status may be viewed on the DEQ Permit Enhancement and Evaluation Platform ([PEEP](https://portal.deq.virginia.gov/peep-search)).

**For a Complete As-built Report, provide at minimum:**

*Items identified as “Optional” will assist DEQ in expediting your request.*

| **Information Required** | **Include**  **(Y, N, or N/A)** | **Page Number(s)**  **or Location(s)** |
| --- | --- | --- |
| 1. OPTIONAL: Report title page clearly marked with the Compensatory Mitigation Site (Site) Name, Resource Type, Phase, Monitoring Year, sponsor contact information, agent contact information (in PDF format) |  |  |
| 1. Signed and dated Certification Statement (from the 2023 Monitoring Report template) (in PDF format) |  |  |
| 1. Plan View Map(s) of the constructed stream, wetland, and/or buffers - depicting the following (as applicable): |  |  |
| 1. The site boundaries |  |  |
| 1. The phase boundaries |  |  |
| 1. As-built topography |  |  |
| 1. All compensation activities (including buffer activities) |  |  |
| 1. The locations of all planting activities |  |  |
| 1. The locations of all monitoring stations |  |  |
| 1. The locations of all photo stations |  |  |
| 1. The locations of all anticipated Year 1 vegetation sampling plots |  |  |
| 1. The locations of all wetland monitoring wells or iris tubes |  |  |
| 1. The locations of all anticipated soil boring locations |  |  |
| 1. The locations of all stream gages |  |  |
| 1. The locations of all precipitation gauges |  |  |
| 1. The locations of all cross-sections |  |  |
| 1. The locations of all longitudinal profiles |  |  |
| 1. The locations of all pattern stations |  |  |
| 1. The locations of all bank vegetation monitoring stations |  |  |
| 1. The locations of all chemical and biological monitoring stations |  |  |
| 1. Streams Only Metrics (as applicable): |  |  |
| 1. As-built longitudinal profiles of stream reaches taken from permanent locations, and overlaid with and compared to design longitudinal profiles |  |  |
| 1. As-built cross-sections of stream reaches taken at locations, and overlaid with and compared to design cross-sections |  |  |
| 1. Summary stream geomorphologic data presented in a side-by-side comparison of the design, reference, and as-built channels |  |  |
| 1. Additional As-Built Report Documentation (as applicable): |  |  |
| 1. Photographs of the completed construction taken at photo stations |  |  |
| 1. Planting composition and densities – table format |  |  |
| 1. Revised credit totals for the entire Site, the Phase for which the as-built is submitted, and individual compensation activities, in the same format as the Crediting and Debiting Procedures Exhibit of the MBI/SDP. |  |  |
| 1. Explanation of differences from design plans to as-built report, and whether deviations were approved prior to or during construction. |  |  |
| 1. OPTIONAL: Cover letter with a narrative explanation of the credit release request and/or financial assurance release or reduction request |  |  |
| 1. OPTIONAL: Submit a Table 4: Financial Reporting Summary (from the 2023 Monitoring Report template) |  |  |
| 1. Financial reporting for all financial assurance mechanisms required by the sponsor’s MBI, SDP, or modifications, including (as applicable): |  |  |
| 1. Initial Credit Release financial assurance (Performance Bond, Letter of Credit) - Submit statements, letters, renewals, extensions, or other proof that the mechanism remains effective, and the amount. |  |  |
| 1. Accelerated Credit Release financial assurance (Performance Bond, Letter of Credit) - Submit statements, letters, renewals, extensions, or other proof that the mechanism remains effective, and the amount. |  |  |
| 1. Maintenance & Monitoring Fund or Bank Operations Fund (Escrow Account), submit: |  |  |
| C.1. A completed 2023 Monitoring Report Financial Ledger template (in Excel format) |  |  |
| C.2. Financial institution statements, letters, or other proof of current balance for the account |  |  |
| 1. Maintenance & Monitoring Fund or Bank Operations Fund (Performance Bond, Letter of Credit, or Insurance), - Submit statements, letters, renewals, extensions, or other proof that the mechanism remains effective, and the amount. |  |  |
| 1. Long-Term Management Fund (Escrow Account), submit: |  |  |
| E.1. A completed 2023 Monitoring Report Financial Ledger template (in Excel format) |  |  |
| E.2. Financial institution statements, letters, or other proof of current balance for the account |  |  |
| 1. Long-Term Management Fund (Endowment) - Submit financial institution statements, letters, or other proof of current balance for the account |  |  |
| 1. Catastrophic Event Fund (Escrow Account), submit: |  |  |
| G.1. A completed 2023 Monitoring Report Financial Ledger template (in Excel format) |  |  |
| G.2. Financial institution statements, letters, or other proof of current balance for the account |  |  |
| 1. Agency approvals or other documentation for any previous releases or reductions of financial assurances |  |  |

How to Submit:

##### All components of the report should be uploaded to the Regulatory In-Lieu Fee and Bank Information Tracking System (RIBITS), in the Bank/Site specific folder.

##### Reports and Credit Release Requests should be loaded into RIBITS in the As-Built Reports folder and the folder for the monitoring year.

##### Financial Assurance and Long-Term Management Fund Report information should be loaded into the Financial Reports folder in RIBITS.

"I certify that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information."

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*Applicant Printed Name*

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*Applicant Signature*  *Date*